

FORM PTO-1390
(REV 10-95)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. §371**

U.S. APPLICATION NO. (If known, see 37 CFR §1.5)
09/674266

INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED
PCT/DE99/01258	19 APRIL 1999	28 APRIL 1998

TITLE OF INVENTION

HUMAN NUCLEIC ACID SEQUENCES OBTAINED FROM PANCREAS TUMOR TISSUE

APPLICANT(S) FOR DO/EO/US

SPECHT, Thomas, et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. §371.
- This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. §371.
- This express request to begin national examination procedures (35 U.S.C. §371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. §371(b) and PCT Articles 22 and 39(1).
- A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
- A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
- A translation of the International Application into English (35 U.S.C. §371(c)(2)).
- Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments has NOT expired.
 - d. have not been made and will not be made.
- A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
- An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. An Information Disclosure Statement under 37 C.F.R. §§1.97 and 1.98.
12. An assignment document for recording. A separate cover sheet in compliance with 37 C.F.R. §§3.28 and 3.31 is included.
13. A **FIRST** preliminary amendment.
 - A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. A substitute specification.
15. A change of power of attorney and/or address letter.
16. Other items or information:

PAPER COPY OF SEQUENCE LISTING WITH DISKETTE

U.S. APPLICATION NO. (if known, see 37 CFR §1.5) 09/674266		INTERNATIONAL APPLICATION NO. PCT/DE99/01258	ATTORNEY'S DOCKET NUMBER SCH 1787																			
17. <input checked="" type="checkbox"/> The following fees are submitted:		CALCULATIONS PTO USE ONLY																				
BASIC NATIONAL FEE (37 CFR §1.492 (a) (1) - (5)): Search Report has been prepared by the EPO or JPO..... \$860.00 International preliminary examination fee paid to USPTO (37 CFR §1.482)..... \$690.00 No international preliminary examination fee paid to USPTO (37 CFR §1.482) but international search fee paid to USPTO (37 CFR §1.445(a)(2))..... \$710.00 Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO..... \$1000.00 International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)..... \$100.00																						
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$860.00																			
Surcharge of \$130.00 for furnishing the oath or declaration later than months from the earliest claimed priority date (37 C.F.R. §1.492(e)). <input type="checkbox"/> 20 <input type="checkbox"/> 30																						
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">CLAIMS</th> <th style="text-align: left; padding: 2px;">NUMBER FILED</th> <th style="text-align: left; padding: 2px;">NUMBER EXTRA</th> <th style="text-align: left; padding: 2px;">RATE</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Total claims</td> <td style="padding: 2px;">38 - 20 =</td> <td style="padding: 2px;">18</td> <td style="padding: 2px;">x \$ 18.00</td> <td style="padding: 2px; text-align: right;">\$324.00</td> </tr> <tr> <td style="padding: 2px;">Independent claims</td> <td style="padding: 2px;">3 - 3 =</td> <td style="padding: 2px;">0</td> <td style="padding: 2px;">x \$ 80.00</td> <td style="padding: 2px; text-align: right;">\$0.00</td> </tr> <tr> <td colspan="3" style="padding: 2px;">MULTIPLE DEPENDENT CLAIM(S) (if applicable)</td> <td style="padding: 2px;">+ \$ 270.00</td> <td style="padding: 2px;"></td> </tr> </tbody> </table>				CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	Total claims	38 - 20 =	18	x \$ 18.00	\$324.00	Independent claims	3 - 3 =	0	x \$ 80.00	\$0.00	MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270.00	
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Total claims	38 - 20 =	18	x \$ 18.00	\$324.00																		
Independent claims	3 - 3 =	0	x \$ 80.00	\$0.00																		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 270.00																			
TOTAL OF ABOVE CALCULATIONS =			\$1,184.00																			
Reduction of 1/2 for filing by small entity, if applicable. A Verified Small Entity Statement must also be filed (Note 37 C.F.R. §§1.9, 1.27, 1.28).																						
SUBTOTAL =			\$1,184.00																			
Processing fee of \$130.00 for furnishing the English translation later than months from the earliest claimed priority date (37 C.F.R. §1.492(f)).																						
TOTAL NATIONAL FEE =			\$1,184.00																			
Fee for recording the enclosed assignment (37 C.F.R. §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 C.F.R. §§3.28, 3.31). \$40.00 per property.																						
TOTAL FEES ENCLOSED =			\$1,184.00																			
<table border="1" style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 60%; text-align: left; padding: 2px;">Amount to be refunded:</td> <td style="width: 40%; text-align: right; padding: 2px;">_____</td> </tr> <tr> <td colspan="2" style="text-align: center; padding: 2px;">charged:</td> </tr> </table>				Amount to be refunded:	_____	charged:																
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charged:																						
a. <input checked="" type="checkbox"/> A check in the amount of <u>\$1,184.00</u> to cover the above fees is enclosed.																						
b. <input type="checkbox"/> Please charge my Deposit Account No. <u>13-3402</u> in the amount of <u>\$</u> to cover the above fees. A duplicate copy of this sheet is enclosed.																						
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-3402</u> . A duplicate copy of this sheet is enclosed.																						
NOTE: Where an appropriate time limit under 37 C.F.R. §§1.494 or 1.495 has not been met, a petition to revive (37 C.F.R. §1.137(a) or (b)) must be filed and granted to restore the application to pending status.																						
SEND ALL CORRESPONDENCE TO: MILLEN, WHITE, ZELANO & BRANIGAN, P.C. Arlington Courthouse Plaza I 2200 Clarendon Boulevard, Suite 1400 Arlington, Virginia 22201 (703) 243-6333																						
 SIGNATURE <u>Anthony J. Zelano</u> NAME																						
Filed: 30 OCTOBER 2000 <u>27,969</u> AJZ:jmm REGISTRATION NUMBER																						